



Sheet 1 of 1

| Form PTO-1449 (REV. 8-83) US Dept. of Commerce PATENT & TRADEMARK OFFICE | | | ATTY DOCKET NO. 116607 | | APPLICATION NO. 10/621,605 | |
|---|---|---|---------------------------------------|--------------------------------|-------------------------------|-----------|
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | APPLICANTS Satoshi TATSUURA et al. | | | |
| | | | FILING DATE July 18, 2003 | | | |
| U.S. PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS |
| <i>Shi</i> | 1 | JP A 11-15031 w/ Abst & Trans | 1/22/1999 | Japan | | |
| <i>Wu</i> | 2 | WO 03/034142 A1 w/ Abstract | 4/24/2003 | WIPO | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | |
| <i>Shi</i> | 3 | Zujin SHI et al. , Chemical Communication, The Royal Society of Chemistry 2000, "Single-Wall Carbon Nanotube Colloids in Polar Solvents", March 2, 2000, Pgs. 461-462 | | | | |
| <i>Wu</i> | 4 | Xuchun LIU et al. Applied Physics Letters, "Third-Order Optical Nonlinearity of the Carbon Nanotubes", January 11, 1999, Vol 74, Num. 2, Pgs. 164-166 | | | | |
| <i>Wu</i> | 5 | H. KATAWARA et al. Synthetic Metals 103 (1999), "Optical Properties of Single-Wall Carbon Nanotubes", Pgs. 255-2558 | | | | |
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| EXAMINER <i>Shi</i> | | | | DATE CONSIDERED <i>6-19-04</i> | | |
| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

Date: November 3, 2003